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1c961 U.S. PTO

1c903 U.S. PTO  
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**UTILITY PATENT APPLICATION TRANSMITTAL**

**Under Small Entity Status**

**(New Nonprovisional Applications Under 37 CFR § 1.53(b))**

Attorney Docket No.

8303/3

**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is the patent application of inventors **Dr. Suhayva Abu-Hakima and Connie P. McFarland**,  
entitled **Concept-Based Message/Document Viewer for Electronic Communications and Internet Searching**, for  
an:

☒ **Original Patent Application.**

☐ **Continuing Application (prior application not abandoned):**

- ☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    ☐ Regular Filing of Provisional  
of prior application No. \_\_\_\_\_ filed on \_\_\_\_\_.
- ☐ A statement claiming priority under 35 USC § 120 has been added to the specification.

☒ **Applicant claims small entity status.**

Enclosed are:


- ☒ **Specification; 28 Total Pages (including title page)**    ☒ **Drawing(s) 8 Total Sheets.**
- ☒ **Oath or Declaration:**
- ☒ **A Newly Executed Combined Declaration and Power of Attorney:**
- ☒ **Signed.**    ☐ **Unsigned.**    ☐ **Partially Signed.**
- ☐ A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
- ☐ Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
- ☐ Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- ☐ Power of Attorney.    ☒ **Return receipt postcard.**
- ☐ Associate Power of Attorney.    ☒ **A check in the amount of \$355 for the filing fee.**
- ☐ Preliminary Amendment.    ☐ Information Disclosure Statement and Form PTO-1449.
- ☐ A Certified Copy of Priority Documents (if foreign priority is claimed).
- ☐ Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- ☒ **Other: Assignment, Assignment Cover Sheet, and check in the amount of \$40 for the recordation fee**

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	14 - 20	0	\$9.00	\$ 0.00
Independent Claims	2 - 3	0	\$40.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Basic Filing Fee				\$ 355.00
Total Filing Fee				\$ 355.00

☒ **The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0930. A duplicate copy of this sheet is enclosed.**

- ☒ **Any additional filing fees required under 37 CFR § 1.16.**
- ☐ Any patent application processing fees under 37 CFR § 1.17.

Respectfully submitted,

By:   
Michael H. Baniak, Reg. No. 30,608

Date: July 10, 2001

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents  
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Date of Deposit: July 10, 2001